

TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.  
00-058

In Re Application Of: JAMES WALKER et al.

|                          |                                   |                              |                        |
|--------------------------|-----------------------------------|------------------------------|------------------------|
| Serial No.<br>09/672,066 | Filing Date<br>September 28, 2000 | Examiner<br>Not Yet Assigned | Group Art Unit<br>2162 |
|--------------------------|-----------------------------------|------------------------------|------------------------|

Title: **METHOD FOR ALLOWING A CUSTOMER TO OBTAIN A DISCOUNTED PRICE FOR A TRANSACTION AND TERMINAL FOR PERFORMING THE METHOD**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

- Request for Corrected Filing Receipt, 2 pp.;
- Red-lined copy of Filing Receipt, 2 pp.;
- Copy of Utility Patent Application Transmittal, 4 pp; and
- Return Receipt Postcard.

RECEIVED  
OCT 1-1 2001  
Group 2100

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
  - ☐ Charge the amount of \_\_\_\_\_
  - ☒ Credit any overpayment.
  - ☒ Charge any additional fee required.

\_\_\_\_\_  
Signature

Dated: July 12, 2001

Dean P. Alderucci  
Attorney for Applicants  
PTO Registration No. 40,484  
203.461.7337/phone  
203.461.7300/fax

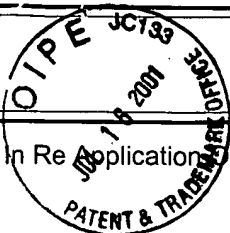
Customer No. 22927

Alderucci@WalkerDigital.com

CC:

|   |  |
|---|--|
| I certify that this document and fee is being deposited<br>on July 12, 2001 with the U.S. Postal Service as<br>first class mail under 37 C.F.R. 1.8 and is addressed to the<br>Assistant Commissioner for Patents, Washington, D.C.<br>20231. |  |
|   |  |
| Signature of Person Mailing Correspondence  |  |
| Veronika S. Leliever  |  |
| Typed or Printed Name of Person Mailing Correspondence  |  |

Receipt.



TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.  
00-058

In Re Application of: JAY S. WALKER et al.

FILE COPY

#4

Serial No.  
09/672,066

Filing Date  
September 28, 2000

Examiner  
Not Yet Assigned

Group Art Unit  
2162

Title: **METHOD FOR ALLOWING A CUSTOMER TO OBTAIN A DISCOUNTED PRICE FOR A TRANSACTION AND TERMINAL FOR PERFORMING THE METHOD**

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Attorney for Applicants  
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203.461.7300/fax

Customer No. 22927

Alderucci@WalkerDigital.com

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Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence



UNITED STATES PATENT AND TRADEMARK OFFICE

**COPY**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/672,066         | 09/28/2000  | 2162         | 1086          | 00-058          | 10       | 29         | 6          |

22927

WALKER DIGITAL  
FIVE HIGH RIDGE PARK  
STAMFORD, CT 06905

## FILING RECEIPT

\*OC000000005592094\*

RECEIVED

Date Mailed: 12/01/2000

Group 2100

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jay S. Walker, Ridgefield, CT ;  
Daniel E. Tedesco, Westport, CT ;  
Stephen C. Tulley, Stamford, CT ;  
John M. Packes, Jr., Hawthorne, NY ;  
Keith Berner, New York, NY ;  
James A. Jorasch, Stamford, CT ;  
Russell P. Sammon, Stamford, CT ;  
Michiko Kobayashi, Stamford, CT ;

## Continuing Data as Claimed by Applicant

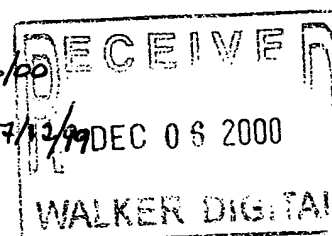
THIS APPLN CLAIMS BENEFIT OF 60/230,676  
WHICH IS A CIP OF 09/219,267 12/23/1998  
WHICH IS A CIP OF 08/943,483 10/03/1997  
WHICH IS A CIP OF 08/923,683 09/04/1997  
WHICH IS A CIP OF 08/889,319 07/08/1997 PAT 6,085,169  
WHICH IS A CIP OF 08/707,660 09/04/1996 PAT 5,794,207  
AND A CIP OF 09/100,684 06/19/1998  
WHICH IS A CIP OF 08/982,149 12/01/1997  
AND A CIP OF 08/994,426 12/19/1997  
WHICH IS A CIP OF 08/920,116 08/26/1997 PAT 6,119,099  
WHICH IS A CIP OF 08/822,709 03/21/1997

AND A CIP OF 09/526,907 03/16/00

## Foreign Applications

WHICH CLAIMS BENEFIT OF 60/143,490 07/13/99  
AND IS A CIP OF 09/219,267 12/23/98

If Required, Foreign Filing License Granted 11/21/2000



Title

AND

Method for allowing a customer to obtain a discounted price for a transaction ~~at~~ terminal for performing the method

Preliminary Class

705

---

Data entry by : MUSLIM, ALIA

Team : OIPE

Date: 12/01/2000

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
00-058Total Pages in this Submission  
66

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231**COPY**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

METHOD FOR ALLOWING A CUSTOMER TO OBTAIN A DISCOUNTED PRICE FOR TRANSACTION  
AND TERMINAL FOR PERFORMING THE METHOD

and invented by:

Jay S. WALKER; Daniel E. TEDESCO; Stephen C. TULLEY; John M. PACKES, JR.; Keith BEMER;  
James A. JORASCH; Russell P. SAMMON; Michiko KOBAYASHI;

RECEIVED

OCT 11 2001

Group 2100

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 60/230,676☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/219,267

Which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/243,483

CONTINUED ON PAGE 4

Enclosed are:

## Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 35 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.

00-058

Total Pages in this Submission

66

**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal                      Number of Sheets      10 (Figs. 1-10)
- b. ☐ Informal                      Number of Sheets      \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)*      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449      ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing

☐ First Class    ☒ Express Mail *(Specify Label No.):* EL510722457US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
00-058

Total Pages in this Submission  
66

**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☒ Additional Enclosures (please identify below):

Addendum page 4

Postcard

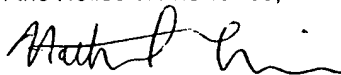
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**Group 2100**

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

| For  | #Filed | #Allowed | #Extra | Rate      | Fee        |
|--|--------|----------|--------|-----------|------------|
| Total Claims   | 29     | - 20 =   | 9      | x \$18.00 | \$162.00   |
| Indep. Claims  | 6      | - 3 =    | 3      | x \$78.00 | \$234.00   |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |        |          |        |           | \$0.00     |
| BASIC FEE  |        |          |        |           | \$690.00   |
| OTHER FEE (specify purpose)  |        |          |        |           | \$0.00     |
| TOTAL FILING FEE   |        |          |        |           | \$1,086.00 |

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,086.00 as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Signature

Nathaniel Levin  
Attorney for Applicants Reg. No.: 34,860  
Telephone # (203) 461-7114  
22927



**22927**

Dated: September 28, 2000

cc:

PATENT TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL (LARGE ENTITY)

Continued from page 1

In addition I hereby claim the benefit of 35 U.S.C. Section 120 of the following United States applications:

| Application Serial No.                            | Filing Date       | Status   |
|---|-------------------|----------|
| Which is a continuation-in-part of<br>08/923,683  | September 4, 1997 | Pending  |
| Which is a continuation-in-part of<br>08/889,319  | July 8, 1997      | Pending  |
| Which is a continuation-in-part of<br>08/707,660  | September 4, 1996 | Patented |
| Which is a continuation-in-part of<br>09/100,684  | June 19, 1998     | Pending  |
| Which is a continuation-in-part of<br>08/982,149  | December 1, 1997  | Pending  |
| Which is a continuation-in-part of<br>08/994,426  | December 19, 1997 | Pending  |
| Which is a continuation-in-part of<br>08/920,116  | August 26, 1997   | Pending  |
| Which is a continuation-in-part of<br>08/822,709  | March 21, 1997    | Pending  |
| Which is a continuation-in-part of<br>09/526,907  | March 16, 2000    | Pending  |
| Which claims benefit of provisional<br>60/143,490 | July 12, 1999     |          |
| Which is a continuation-in-part of<br>09/219,267  | December 23, 1998 | Pending  |



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al.

) Customer No.: 22927

For: METHOD FOR ALLOWING A  
CUSTOMER TO OBTAIN A  
DISCOUNTED PRICE FOR A  
TRANSACTION AND TERMINAL FOR  
PERFORMING THE METHOD

) Examiner: Not Yet Assigned

Serial No.: 09/672,066

) Group Art Unit: 2162

Filing Date: September 28, 2000

) Docket No.: 00-058

RECEIVED  
OCT 1-1 2001  
Group 2100Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

## REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected to reflect the following:

1. The correct title as "METHOD FOR ALLOWING A CUSTOMER TO OBTAIN A DISCOUNTED PRICE FOR A TRANSACTION AND TERMINAL FOR PERFORMING THE METHOD";

2. Continuing Data as Claimed by Applicant to read:

THIS APPLN CLAIMS BENEFIT OF 60/230,676 09/07/00

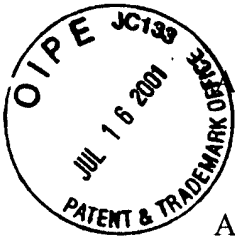
WHICH IS A CIP OF 09/219,267 12/23/1998

WHICH IS A CIP OF 08/943,483 10/03/1997

WHICH IS A CIP OF 08/923,683 09/04/1997

WHICH IS A CIP OF 08/889,319 07/08/1997 PAT 6,085,169

WHICH IS A CIP OF 08/707,660 09/04/1996 PAT 5,794,207



AND A CIP OF 09/100,684 06/19/1998

WHICH IS A CIP OF 08/982,149 12//01/1997

AND A CIP OF 08/994,426, 12/19/1997

WHICH IS A CIP OF 08/920,116 08/26/1997 PAT 6,119,099

WHICH IS A CIP OF 08/822,709 03/21/1997

AND A CIP OF 09/526,907 03/16/00

WHICH CLAIMS BENEFIT OF 60/143,490 07/12/99

AND IS A CIP OF 09/219,267 12/23/1998

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OCT 1-1 2001

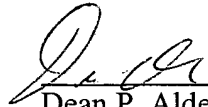
Group 2100

The requested corrections have been indicated in red on the enclosed copy of the official Filing Receipt. Please issue a corrected Filing Receipt in due course.

The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$0.00 to Deposit Account No. 50-0271. Order No. 00-058. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 00-058. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

July 12, 2001  
Date

  
\_\_\_\_\_  
Dean P. Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905-1326  
(203) 461-7337 (phone)  
(203) 595-8266 (fax)



## UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 2023  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 7007

|                                    |   |                     |                               |                                      |
|------------------------------------|---|---------------------|-------------------------------|--------------------------------------|
| <b>SERIAL NUMBER</b><br>09/672,066 | <b>FILING DATE</b><br>09/28/2000<br><b>RULE</b> | <b>CLASS</b><br>705 | <b>GROUP ART UNIT</b><br>2162 | <b>ATTORNEY DOCKET NO.</b><br>00-058 |
|------------------------------------|---|---------------------|-------------------------------|--------------------------------------|

**APPLICANTS**

Jay S. Walker, Ridgefield, CT;  
 Daniel E. Tedesco, Westport, CT;  
 Stephen C. Tulley, Stamford, CT;  
 John M. Packes, Jr., Hawthorne, NY;  
 Keith Berner, New York, NY;  
 James A. Jorasch, Stamford, CT;  
 Russell P. Sammon, Stamford, CT;  
 Michiko Kobayashi, Stamford, CT;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLN CLAIMS BENEFIT OF 60/230,676 09/07/2000  
 AND A CIP OF 09/219,267 12/23/1998  
 WHICH IS A CIP OF 08/943,483 10/03/1997  
 WHICH IS A CIP OF 08/923,683 09/04/1997  
 WHICH IS A CIP OF 08/889,319 07/08/1997 PAT 6,085,169  
 WHICH IS A CIP OF 08/707,660 09/04/1996 PAT 5,794,207  
 THIS APPLICATION 09/672,066  
 IS A CIP OF 09/100,684 06/19/1998  
 WHICH IS A CIP OF 08/982,149 12/01/1997 PAT 6,196,458  
 AND A CIP OF 08/994,426 12/19/1997  
 WHICH IS A CIP OF 08/920,116 08/26/1997 PAT 6,119,099  
 WHICH IS A CIP OF 08/822,709 03/21/1997 PAT 6,267,670  
 AND A CIP OF 09/526,907 03/16/2000  
 WHICH CLAIMS BENEFIT OF 60/143,490 07/12/1999  
 AND A CIP OF 09/219,267 12/23/1998

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

\*\* 11/21/2000

|   |                        |                      |                    |                         |
|---|------------------------|----------------------|--------------------|-------------------------|
| Foreign Priority claimed<br><input type="checkbox"/> yes <input checked="" type="checkbox"/> no   | STATE OR COUNTRY<br>CT | SHEETS DRAWING<br>10 | TOTAL CLAIMS<br>29 | INDEPENDENT CLAIMS<br>6 |
| 35 USC 119 (a-d) conditions met<br><input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance |                        |                      |                    |                         |
| Verified and Acknowledged<br>Examiner's Signature: <i>[Signature]</i> Initials: _____   |                        |                      |                    |                         |

**ADDRESS**

22927

**TITLE**

Method for allowing a customer to obtain a discounted price for a transaction and terminal for performing the method

☐ All Fees

|  |   |   |
|--|---|---|
| <b>FILING FEE<br/>RECEIVED</b><br>1086 | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of<br>time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |
|--|---|---|